

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

ALVA GENE THANING,  
Plaintiff(s),

Case No. CW07-5528 PJH

ADR CERTIFICATION BY PARTIES  
AND COUNSEL

v.  
UBS/PAINE WEBBER, UBS/ PAINE  
WEBBER PARTNER'S PLUS; UBS  
FINANCIAL SERVICES INC.,  
Defendant(s). /

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Pursuant to Civil L.R. 16-8(b) and ADR L.R. 3-5 (b), each of the undersigned certifies that he or she has:

(1) Read the handbook entitled "*Dispute Resolution Procedures in the Northern District of California*" on the Court's ADR Internet site [www.adr.cand.uscourts.gov](http://www.adr.cand.uscourts.gov) (*Limited printed copies are available from the clerk's office for parties in cases not subject to the court's Electronic Case Filing program (ECF) under General Order 45*);

(2) Discussed the available dispute resolution options provided by the Court and private entities; and

(3) Considered whether this case might benefit from any of the available dispute resolution options.

Dated: March 4, 2008

/s/

[Party]  
Defendants UBS Financial  
Services Inc. (also sued as  
Paine Webber) and UBS  
PartnerPlus Plan  
(erroneously sued as  
UBS/Paine Webber Partner's  
Plus)

Dated: March 4, 2008

/s/ Walter Stella

[Counsel]  
Walter Stella